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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/656,722
				Filing Date	September 5, 2003
				First Named Inventor	Lilip Lau
				Art Unit	3736
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 66117
Sheet	1	of	2		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
/JL/		5,876,432		03/02/1999	Lau et al.	
		6,217,894		04/17/2001	Sawhney et al.	
		6,312,725		11/06/2001	Wallace et al.	
		6,352,710		03/05/2002	Sawhney et al.	
		6,633,780		10/14/2003	Berger	
		6,699,259		03/02/2004	Fogarty et al.	
		6,759,431		07/06/2004	Hunter et al.	
		6,818,018		11/16/2004	Sawhney	
		6,833,408		12/21/2004	Sehl et al.	
		6,876,887		04/05/2005	Okuzumi	
		6,881,185		04/19/2005	Vanden Hoek et al.	
		6,887,192		05/03/2005	Whayne et al.	
		6,893,392		05/17/2005	Alferness	
		6,896,652		05/24/2005	Alferness et al.	
		6,902,522		06/07/2005	Walsh et al.	
		6,902,524		06/07/2005	Alferness et al.	
		6,908,426		06/21/2005	Shapland et al.	
		2005/0059854		03/17/2005	Hoek et al.	
/JL/		2005/0085688		04/21/2005	Girard et al.	

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Examiner Signature	/John Lacyk/	Date Considered	10/29/2007
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet

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of

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Group Art Unit	3736
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Attorney Docket Number	PARCR 66117

**OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published:	T <sup>2</sup>
/JL/		Zhou, Xiaohong, et al., <i>Epicardial Mapping of Ventricular Defibrillation With Monophasic and Biphasic Shocks in Dogs</i> , <i>Circulation Research</i> , Vol. 72, No. 1, pp. 145-160, January 1993	
		Shorofsky, Stephen R., et al., <i>Comparison of Step-Down and Binary Search Algorithms for Determination of Defibrillation Threshold in Humans</i> , <i>PACE</i> , Vol. 27, pp. 218-220, February 2004	
		Gold, Michael R., M.D., et al., <i>Comparison of Single- and Dual-Coil Active Pectoral Defibrillation Lead Systems</i> , <i>Journal of the American College of Cardiology</i> , Vol. 31, No. 6, pp. 1391-1394, May 1998	
		Rinaldi, C. Aldo, <i>A Randomized Prospective Study of Single Coil Versus Dual Coil Defibrillation in Patients With Ventricular Arrhythmias Undergoing Implantable Cardioverter Defibrillator Therapy</i> , <i>PACE</i> , Vol. 26, pp. 1684-1690, August 2003	
		Schwartzman, David, M.D., et al., <i>Serial Defibrillation Lead Impedance in Patients with Epicardial and Nonthoracotomy Lead Systems</i> , <i>Journal of Cardiovascular Electrophysiology</i> , Vol. 7, No. 8, pp. 697-703, August 1996	
		Sandstedt, Bengt, et al., <i>Bidirectional Defibrillation Using Implantable Defibrillators: A Prospective Randomized Comparison Between Pectoral and Abdominal Active Generators</i> , <i>PACE</i> , Vol. 24, Part I, pp. 1343-1353, September 2001	
/JL/		Schulte, B., et al., <i>Dual-Coil vs. Single-Coil Active Pectoral Implantable Defibrillator Lead Systems: Defibrillation Lead Requirements and Probability of Defibrillation Success at Multiples of the Defibrillation Energy Requirements</i> , <i>Europace</i> , Vol. 3, pp. 177-180, July 2001	

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Sheet 1 of 14

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**U.S. PATENT DOCUMENTS**

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		Number	Kind Code <sup>2</sup> (if known)			
		2,826,193		03/11/58	Vineberg	
		3,464,322		09/02/69	Pequignot	
		3,513,836		05/26/70	Sausse	
		3,587,567		06/28/71	Schiff	
		3,613,672		10/19/71	Schiff	
		3,966,401		06/29/76	Hancock et al.	
		3,983,863		10/05/76	Janke et al.	
		3,988,782		11/02/76	Dardik et al.	
		4,011,947		03/15/77	Sawyer	
		4,048,990		09/20/77	Goetz	
		4,065,816		01/03/78	Sawyer	
		4,108,161		08/22/78	Samuels et al.	
		4,192,293		03/11/80	Asrican	
		4,211,325		07/08/80	Wright	
		4,261,342		04/14/81	Aranguren Duo	
		4,306,318		12/22/81	Mano et al.	
		4,372,293		02/08/83	Vijil-Rosales	
		4,403,604		09/13/83	Wilkinson et al.	
		4,428,375		01/31/84	Ellman	
		4,512,471		04/23/85	Kaster et al.	
		4,536,893		08/27/85	Parravicini	
		4,545,783		10/08/85	Vaughn	

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Examiner Initials*	Cite No <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		FR	2 527 435	✓	12/2/83	Serreus		
		SU	1009457A	✓	04/07/83	Kulik		
		GB	2 115 287 A	✓	09/07/83	Sharma		
		JP	60-203250	✓	10/14/85	Unknown		
		GB	2 209 678 A	✓	05/24/89	Evans		
		DE	3831 540 A1	✓	04/06/89	Evans		
		JP	1-145066	✓	06/07/89	Unknown		
		JP	1-271829	✓	10/30/89	Kogyo		
		EPO	0 370 931 A1	✓	05/30/90	Perrotin		

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Signature

Date

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Application Number		10/656,722					
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First Named Inventor		Lilip Lau					
Art Unit		Unassigned					
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		Number	Kind Code <sup>2</sup> (if known)			
		4,628,937		12/16/86	Hess et al.	
		4,630,597		12/23/86	Kantrowitz et al.	
		4,665,906		05/19/87	Jervis	
		4,690,134		09/01/87	Snyders	
		4,697,703		10/06/87	Will	
		4,750,619		06/14/88	Cohen et al.	
		4,821,723		04/18/89	Baker, Jr. et al.	
		4,827,932		05/09/89	Ideker et al.	
		4,834,707		05/30/89	Evans	
		4,838,288		06/13/89	Wright et al.	
		4,840,626		06/20/89	Linsky et al.	
		4,863,016		09/05/89	Fong et al.	
		4,878,890		11/07/89	Bilweis	
		4,936,857		06/26/90	Kulik	
		4,957,477		09/18/90	Lundback	
		4,960,424		10/02/90	Grooters	
		4,973,300		11/27/90	Wright	
		4,976,730		12/11/90	Kwan-Gett	
		5,031,762		07/16/91	Heacox	
		5,057,117		10/15/91	Atweh	
		5,067,957		11/26/91	Jervis	
		5,087,243		02/11/92	Avitall	

  

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		FR	2 645 739	✓	10/19/90	Mentzer et al.		
		PCT	WO 91/19465	✓	12/26/91	Frater		
		SU	1734767 A1	✓	05/23/92	Popov et al.		
		EP	0 280 564 B1	✓	06/16/93	Intermedics, Inc.		
		DE	38 31 540 C2	✓	06/24/93	Evans		
		PCT	WO 95/06447	✓	03/09/95	W.L. Gore & Associates		
		PCT	WO 96/04852	✓	02/22/96	Northrup		
		DE	295 17 393 U1	✓	03/14/96	Hohmann et al.		
		EP	0 583 012 B1	✓	07/10/96	Heilman et al.		

  

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		5,098,369		03/24/92	Heilman et al.	
		5,106,386		04/21/92	Isner et al.	
		5,119,804		06/09/92	Anstadt	
		5,131,905		07/21/92	Grooters	
		5,150,706		09/29/92	Cox et al.	
		5,169,381		12/08/92	Snyders	
		5,186,711		02/16/93	Epstein	
		5,190,546		03/02/93	Jervis	
		5,192,314		03/09/93	Daskalakis	
		5,197,978		03/30/93	Hess	
		5,256,132		10/26/93	Snyders	
		5,279,539		01/18/94	Bohan et al.	
		5,290,217		03/01/94	Campos	
		5,336,254		08/09/94	Brennen et al.	
		5,344,442		09/06/94	Deac	
		5,352,184		10/04/94	Goldberg et al.	
		5,356,432		10/18/94	Rutkow et al.	
		5,366,460		11/22/94	Eberbach	
		5,383,840		01/24/95	Heilman et al.	
		5,385,156		01/31/95	Oliva	
		5,385,229		01/31/95	Bittmann et al.	
		5,385,528		01/31/95	Wilk	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		PCT	WO 96/40356 ✓		12/19/96	EP Technologies, Inc.		
		PCT	WO 97/20505 ✓		06/12/97	Loma Linda University		
		PCT	WO 97/24101 ✓		07/10/97	Melvin		
		EP	0 791 330 A3 ✓		08/27/97	Cardiothoracic Systems		
		PCT	WO 98/03213 ✓		01/29/98	Heartport, Inc.		
		PCT	WO 98/14136		04/09/98	Acorn Medical, Inc.		
		PCT	WO 98/26738 ✓		06/25/98	Hearten Medical, Inc.		
		PCT	WO 98/29041 ✓		07/09/98	Myocor, Inc.		
		PCT	WO 99/11201 ✓		03/11/99	Endocore, Inc.		

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		5,405,360		04/11/95	Tovey	
		5,429,584		07/04/95	Chiu	
		5,460,962		10/24/95	Kemp	
		5,433,727		07/18/05	Sideris	
		5,500,015		03/19/96	Deac	
		5,507,779		04/16/96	Altman	
		5,509,428		04/23/96	Dunlop	
		5,524,633		06/11/96	Heaven et al.	
		5,533,958		07/09/96	Wilk	
		5,534,024		07/09/96	Rogers et al.	
		5,545,210		08/13/96	Hess et al.	
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		5,647,380		07/15/97	Campbell et al.	
		5,695,525		12/09/97	Mulhauser et al.	
		5,702,343		12/30/97	Alferness	

FOREIGN PATENT DOCUMENTS								
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		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		EP	0 919 193 A1 ✓		06/02/99	Ethicon Endo-Surgery		
		PCT	WO 99/30647 ✓		06/24/99	Myocor, Inc.		
		PCT	WO 99/44534 ✓		09/10/99	Melvin		
		PCT	WO 99/44680 ✓		09/10/99	Abiomed, Inc.		
		PCT	WO 99/53977 ✓		10/28/00	SciMed Life Systems		
		PCT	WO 99/56655 ✓		11/11/99	Correstore, Inc.		
		PCT	WO 00/02500 ✓		01/20/00	Acom Cardiovascular		
		PCT	WO 00/06026 ✓		02/10/00	Myocor, Inc.		
Examiner Signature						Date Considered		

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
Sheet	5	of	14	Application Number	10/656,722
				Filing Date	September 5, 2003
				First Named Inventor	Lilip Lau
				Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 66117

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		5,713,954		02/03/98	Rosenberg et al.	
		5,727,569		03/17/98	Benetti et al.	
		5,749,839		05/12/98	Kovacs	
		5,782,746		07/21/98	Wright	
		5,800,334		09/01/98	Wilk	
		5,800,528		09/01/98	Lederman et al.	
		5,814,097		09/29/98	Sterman et al.	
		5,824,028		10/20/98	Knisley	
		5,836,311		11/17/98	Borst et al.	
		5,848,962		12/15/98	Feindt et al.	
		5,849,005		12/15/98	Garrison et al.	
		5,853,422		12/29/98	Huebsch et al.	
		5,865,791		02/02/99	Whayne et al.	
		5,904,690		05/18/99	Middleman et al.	
		5,910,124		06/08/99	Rubin	
		5,927,284		07/27/99	Borst et al.	
		5,948,019		09/07/99	Shu et al.	
		5,957,977		09/28/99	Melvin	
		5,961,440		10/05/99	Schweich, Jr. et al.	
		5,976,069		11/02/99	Navia et al.	
		5,979,456		11/09/99	Magovern	
		5,984,857		11/16/99	Buck et al.	
		5,990,378		11/23/99	Ellis	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		PCT	WO 00/06028 ✓		02/10/00	Mortier, et al.		
		PCT	WO 00/13722 ✓		03/16/00	SciMed Life Systems		
		PCT	WO 00/16700 ✓		03/30/00	Myocor, Inc.		
		PCT	WO 00/18320 ✓		04/06/00	Univ. of Cincinnati		
		PCT	WO 00/28912 ✓		05/25/00	Acorn Cardiovascular		
		PCT	WO 00/28918		05/25/00	Acorn Cardiovascular		
		PCT	WO 00/42919 ✓		07/25/00	Buckberg et al.		
		PCT	WO 00/45735 ✓		08/10/00	Acorn Cardiovascular		
		PCT	WO 00/48795 ✓		08/24/00	Richebourg		

Examiner Signature	Date Considered
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/656,722
				Filing Date	September 5, 2003
				First Named Inventor	Lilip Lau
				Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 66117
Sheet	6	of	14		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		6,007,486		12/28/99	Hunt et al.	
		6,015,378		01/18/00	Borst et al.	
		6,024,096		02/15/00	Buckberg	
		6,045,497		04/04/00	Schweich, Jr. et al.	
		6,050,936		04/18/00	Schweich, Jr. et al.	
		6,059,715		05/09/00	Schweich, Jr. et al.	
		6,071,303		06/06/00	Laufer	
		6,076,013		06/13/00	Brennan et al.	
		6,077,214		06/20/00	Mortier et al.	
		6,077,218		06/20/00	Alferness	
		6,079,414		06/27/00	Roth	
		6,085,754		07/11/00	Alferness et al.	
		6,095,968		08/01/00	Snyders	
		6,110,100		08/29/00	Talpade	
		6,117,159		09/12/00	Huebsch et al.	
		6,117,979		09/12/00	Hendriks et al.	
		6,123,662		09/26/00	Alferness et al.	
		6,125,852		10/03/00	Stevens et al.	
		6,126,590		10/03/00	Alferness	
		6,155,968		12/05/00	Wilk	
		6,155,972		12/05/00	Nauertz et al.	
		6,162,168		12/19/00	Schweich, Jr. et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		PCT	WO 00/62727 ✓		10/26/00	Hearten Medical		
		PCT	WO 00/74769 ✓		12/14/00	Johns Hopkins Univ.		
		PCT	WO 01/17437 ✓		03/15/01	Cardiothoracic Systems		
		PCT	WO 01/21098 ✓		03/29/01	Acom Cardiovascular		
		PCT	WO 01/50981 ✓		07/19/01	Acom Cardiovascular		
		PCT	WO 01/67985 ✓		09/20/01	Paracor Surgical		
		PCT	WO 01/85061 ✓		11/15/01	Acom Cardiovascular		
		PCT	WO 01/91667 ✓		12/06/01	Cardioclasp, Inc.		
		PCT	WO 01/95830 ✓		12/20/01	Acom Cardiovascular		

Examiner Signature		Date Considered	
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Substitute for form 1449A/PTO  <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>		<b>Complete if Known</b>	
Sheet	7	of	14
		Application Number	10/656,722
		Filing Date	September 5, 2003
		First Named Inventor	Lilip Lau
		Art Unit	Unassigned
		Examiner Name	Unassigned
		Attorney Docket Number	PARCR 66117

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		6,165,119		12/26/00	Schweich, Jr. et al.	
		6,165,120		12/26/00	Schweich, Jr. et al.	
		6,165,121		12/26/00	Alferness	
		6,165,122		12/26/00	Alferness	
		6,166,184		12/26/00	Hendriks et al.	
		6,169,922		01/02/01	Alferness et al.	
		6,174,279		01/16/01	Girard	
		6,179,791		01/30/01	Krueger	
		6,183,411		02/06/01	Mortier et al.	
		6,190,408		02/20/01	Melvin	
		6,193,648		02/27/01	Krueger	
		6,206,820		03/27/01	Kazi et al.	
		6,214,047		02/10/01	Melvin	
		6,221,103		02/24/01	Melvin	
		6,224,540		05/01/01	Ledermann et al.	
		6,230,714		05/15/01	Alferness et al.	
		6,260,552		07/17/01	Mortier et al.	
		6,261,222		07/17/01	Schweich, Jr. et al.	
		6,264,602		07/24/01	Mortier et al.	
		6,287,250		09/11/01	Peng et al.	
		6,293,906		09/25/01	Vanden Hoek et al.	
		6,306,141		10/23/01	Jervis	
		6,360,749		03/26/02	Jayaraman	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		PCT	WO 01/95831 ✓		12/20/01	Acorn Cardiovascular		
		PCT	WO 01/95832 ✓		12/20/01	Acorn Cardiovascular		
		PCT	WO 02/13726 ✓		02/21/02	Acorn Cardiovascular		
		PCT	WO 02/19917 ✓		03/14/02	Converge Medical		
		PCT	WO 02/059007		08/01/02	SnapWare Corp.		
		PCT	WO 03/026483 ✓		04/03/03	The Foundry, Inc.		
		PCT	WO 03/026485 ✓		04/03/03	The Foundry, Inc.		
		PCT	WO 03/026484 ✓		04/03/03	The Foundry, Inc.		

Examiner Signature	Date Considered
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				Application Number	10/656,722
Sheet		8	of	14	Filing Date September 5, 2003
					First Named Inventor Lilip Lau
					Art Unit Unassigned
					Examiner Name Unassigned
					Attorney Docket Number PARCR 66117

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		Number	Kind Code <sup>2</sup> (if known)			
		7,375,608		04/23/02	Alferness	
		6,390,976		05/21/02	Spence et al.	
		6,402,679		06/11/02	Mortier et al.	
		6,402,680		06/11/02	Mortier et al.	
		6,406,420		06/18/02	McCarthy et al.	
		6,409,760		06/25/02	Melvin	
		6,416,459		07/09/02	Haindl	
		6,425,856		07/30/02	Shapland et al.	
		6,432,039		08/13/02	Wardle	
		6,451,025		09/17/02	Jervis	
		6,482,146		11/19/02	Alferness et al.	
		6,537,203		03/25/03	Alferness et al.	
		6,544,168		04/08/03	Alferness	
		6,547,821		11/11/03	Taylor et al.	
		6,564,094		05/13/03	Alferness et al.	
		6,567,699		05/20/03	Alferness et al.	
		6,569,082		05/27/03	Chin	
		6,572,533		06/03/03	Shapland et al.	
		6,575,921		06/10/03	Vanden Hoek et al.	
		6,582,355		06/24/03	Alferness et al.	
		6,587,734		07/01/03	Okuzumi	
		6,595,912		07/22/03	Lau et al.	
		6,602,184		08/05/03	Lau et al.	
		6,612,978		09/02/03	Lau et al.	
		6,612,979		09/02/03	Lau et al.	
		6,659,082		05/27/03	Chin	
		6,645,139		11/11/03	Haindl	
		6,663,558		12/16/03	Lau et al.	
		6,673,009		01/06/04	Vanden Hoek et al.	
		6,682,474		01/27/04	Lau et al.	
		6,682,475		01/27/04	Cox et al.	
		6,682,476		01/27/04	Alferness et al.	
		6,685,620		02/03/04	Gifford, III et al.	
		6,685,627		02/03/04	Jayaraman	
		6,702,732		03/09/04	Lau et al.	

Examiner Signature		Date Considered	
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				Application Number	10/656,722
				Filing Date	September 5, 2003
				First Named Inventor	Lilip Lau
				Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 66117
Sheet	9	of	14		

[illegible][illegible]

Examiner Signature	/John Lacyk/	Date Considered	10/29/2007
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				Application Number	10/656,722
				Filing Date	September 5, 2003
				First Named Inventor	Lilip Lau
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 66117
Sheet	10	of	14		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Bencini, Adriano, M.D., <i>The "Pneumomassage" of the Heart</i> , <u>Surgery</u> , Vol. 39, No. 3, March 1956	
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Substitute for form 1449B/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/656,722
		Filing Date	September 5, 2003
		First Named Inventor	Lilip Lau
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
		Attorney Docket Number	PARCR 66117
Sheet	11	of	14

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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				Application Number	10/656,722
Sheet 12 of 14		Filing Date	September 5, 2003		
		First Named Inventor	Lilip Lau		
		Group Art Unit	Unassigned		
		Examiner Name	Unassigned		
		Attorney Docket Number	PARCR 66117		
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		Application for U.S. Letters Patent Serial No. 09/634,043 filed August 8, 2000	
		Application for U.S. Letters Patent Serial No. 09/952,145 filed September 10, 2001	
		Application for U.S. Letters Patent Serial No. 10/242,016 filed September 10, 2002	
		Application for U.S. Letters Patent Serial No. 10/287,723 filed October 31, 2002	
		Application for U.S. Letters Patent Serial No. 10/314,696 filed December 9, 2002	
		Application for U.S. Letters Patent Serial No. 10/338,934 filed January 7, 2003	
		Provisional Patent Application Serial No. 60/458,991 filed March 28, 2003	
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		Application for U.S. Letters Patent Serial No. 10/693,577 filed October 23, 2003	
		Application for U.S. Letters Patent Serial No. 10/694,646 filed October 27, 2003	
		Application for U.S. Letters Patent Serial No. 10/698,237 filed October 31, 2003	
		Application for U.S. Letters Patent Serial No. 10/704,376 filed November 7, 2003	
		Application for U.S. Letters Patent Serial No. 10/705,989 filed November 12, 2003	
		Application for U.S. Letters Patent Serial No. 10/714,189 filed November 13, 2003	
		Application for U.S. Letters Patent Serial No. 10/715,150 filed November 17, 2003	
		Application for U.S. Letters Patent Serial No. 10/754,174 filed January 9, 2004	
		Application for U.S. Letters Patent Serial No. 10/754,264 filed January 9, 2004	
		Application for U.S. Letters Patent Serial No. 10/754,852 filed January 9, 2004	
		Provisional Patent Application Serial No. 60/535,888 filed January 12, 2004	

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				First Named Inventor	Lilip Lau
				Group Art Unit	Unassigned
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				Attorney Docket Number	PARCR 66117
Sheet	14	of	14		

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1 of 14

**Complete if Known**

Application Number	10/656,722
Filing Date	September 5, 2003
First Named Inventor	Lilip Lau
Art Unit	3736
Examiner Name	Unassigned
Attorney Docket Number	PARCR 66117

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
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		2,826,193		03/11/1958	Vineberg	
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		4,545,783		10/08/1985	Vaughn	

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		FR	2 527 435		12/02/1983	Serreus		
		SU	1009457		04/07/1983	Kulik		
		GB	2 115 287 A		09/07/1983	Sharma		
		JP	60-203250		10/14/1985	Unknown		
		GB	2 209 678 A		05/24/1989	Evans		
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		JP	1-271829		10/30/1989	Kogyo		
		EPO	0 370 931 A1		05/30/1990	Perrotin		

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>			
Sheet <b>2</b> of <b>14</b>				Application Number 10/656,722		Filing Date September 5, 2003	
				First Named Inventor Lilip Lau		Art Unit 3736	
				Examiner Name Unassigned		Attorney Docket Number PARCR 66117	

  

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		4,628,937		12/16/1986	Hess et al.	
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		4,697,703		10/06/1987	Will	
		4,750,619		06/14/1988	Cohen et al.	
		4,821,723		04/18/1989	Baker, Jr. et al.	
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		4,936,857		06/26/1990	Kulik	
		4,957,477		09/18/1990	Lundbäck	
		4,960,424		10/02/1990	Grooters	
		4,973,300		11/27/1990	Wright	
		4,976,730		12/11/1990	Kwan-Gett	
		5,031,762		07/16/1991	Heacox	
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		5,067,957		11/26/1991	Jervis	
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		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		FR	2 645 739		10/19/1990	Mentzer et al.		
		PCT	WO 91/19465		12/26/1991	Frater		
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		EP	0 280 564 B1		06/16/1993	Intermedics, Inc.		
		DE	38 31 540 C2		06/24/1993	Evans		
		PCT	WO 95/06447		03/09/1995	W.L. Gore & Associates		
		PCT	WO 96/04852		02/22/1996	Northrup		
		DE	295 17 393 U1		03/14/1996	Hohmann et al.		
		EP	0 583 012 B1		07/10/1996	Heilman et al.		

  

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/656,722
		Filing Date	September 5, 2003
		First Named Inventor	Lilip Lau
		Art Unit	3736
		Examiner Name	Unassigned
		Attorney Docket Number	PARCR 66117
Sheet	3	of	14

U.S. PATENT DOCUMENTS						
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		Number	Kind Code* (if known)			
		5,098,369		03/24/1992	Heilman et al.	
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		5,119,804		06/09/1992	Anstadt	
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		5,192,314		03/09/1993	Daskalakis	
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		5,385,156		01/31/1995	Oliva	
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		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		PCT	WO 96/40356		12/19/1996	EP Technologies, Inc.		
		PCT	WO 97/20505		06/12/1997	Loma Linda University		
		PCT	WO 97/24101		07/10/1997	Melvin		
		EP	0 791 330 A3		08/27/1997	Cardiothoracic Systems		
		PCT	WO 98/03213		01/29/1998	Heartport, Inc.		
		PCT	WO 98/14136		04/09/1998	Acorn Medical, Inc.		
		PCT	WO 98/26738		06/25/1998	Hearten Medical, Inc.		
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		PCT	WO 98/58598		12/30/1998	Haindl		
		PCT	WO 99/11201		03/11/1999	Endocore, Inc.		

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		Application Number	10/656,722
		Filing Date	September 5, 2003
		First Named Inventor	Lilip Lau
		Art Unit	3736
		Examiner Name	Unassigned
Sheet 4 of 14	Attorney Docket Number	PARCR 66117	

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		Number	Kind Code <sup>2</sup> (if known)			
		5,405,360		04/11/1995	Tovey	
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		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		EP	0 919 193 A1		06/02/1999	Ethicon Endo-Surgery		
		PCT	WO 99/30647		06/24/1999	Myocor, Inc.		
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		PCT	WO 99/44680		09/10/1999	Abiomed, Inc.		
		PCT	WO 99/53977		10/28/1999	SciMed Life Systems		
		PCT	WO 99/56655		11/11/1999	Correstore, Inc.		
		PCT	WO 00/02500		01/20/1999	Acorn Cardiovascular		
		PCT	WO 00/06026		02/10/2000	Myocor, Inc.		
		PCT	WO 00/06027		02/10/2000	Mortier et al.		

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				Attorney Docket Number	PARCR 66117

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		5,713,954		02/03/1998	Rosenberg et al.	
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		5,800,334		09/01/1998	Wilk	
		5,800,528		09/01/1998	Lederman et al.	
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		5,976,069		11/02/1999	Navia et al.	
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		5,990,378		11/23/1999	Ellis	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		PCT	WO 00/06028		02/10/2000	Mortier, et al.		
		PCT	WO 00/13722		03/16/2000	SciMed Life Systems		
		PCT	WO 00/16700		03/30/2000	Myocor, Inc.		
		PCT	WO 00/18320		04/06/2000	Univ. of Cincinnati		
		PCT	WO 00/28912		05/25/2000	Acorn Cardiovascular		
		PCT	WO 00/28918		05/25/2000	Acorn Cardiovascular		
		PCT	WO 00/42919		07/25/2000	Buckberg et al.		
		PCT	WO 00/45735		08/10/2000	Acorn Cardiovascular		
		PCT	WO 00/48795		08/24/2000	Richebourg		

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		6,007,486		12/28/1999	Hunt et al.	
		6,015,378		01/18/2000	Borst et al.	
		6,024,096		02/15/2000	Buckberg	
		6,045,497		04/04/2000	Schweich, Jr. et al.	
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		6,077,218		06/20/2000	Alfermess	
		6,079,414		06/27/2000	Roth	
		6,085,754		07/11/2000	Alfermess et al.	
		6,095,968		08/01/2000	Snyders	
		6,110,100		08/29/2000	Talpade	
		6,117,159		09/12/2000	Huebsch et al.	
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		PCT	WO 00/62727		10/26/2000	Hearten Medical		
		PCT	WO 00/74769		12/14/2000	Johns Hopkins Univ.		
		PCT	WO 01/17437		03/15/2001	Cardiothoracic Systems		
		PCT	WO 01/21098		03/29/2001	Acom Cardiovascular		
		PCT	WO 01/50981		07/19/2001	Acom Cardiovascular		
		PCT	WO 01/67985		09/20/2001	Paracor Surgical		
		PCT	WO 01/85061		11/15/2001	Acom Cardiovascular		
		PCT	WO 01/91667		12/06/2001	Cardioclasp, Inc.		
		PCT	WO 01/95830		12/20/2001	Acom Cardiovascular		

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Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/656,722
		Filing Date	September 5, 2003
		First Named Inventor	Lilip Lau
		Art Unit	3736
		Examiner Name	Unassigned
		Attorney Docket Number	PARCR 66117
Sheet	7	of	14

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		6,165,119		12/26/2000	Schweich, Jr. et al.	
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Examiner Initials*	Cite No <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		PCT	WO 01/95831		12/20/2001	Acorn Cardiovascular		
		PCT	WO 01/95832		12/20/2001	Acorn Cardiovascular		
		PCT	WO 02/13726		02/21/2002	Acorn Cardiovascular		
		PCT	WO 02/19917		03/14/2002	Converge Medical		
		PCT	WO 03/026483		04/03/2003	The Foundry, Inc.		
		PCT	WO 03/026485		04/03/2003	The Foundry, Inc.		
		PCT	WO 03/026484		04/03/2003	The Foundry, Inc.		
		PCT	WO/0036995		06/29/2000	Corset Inc.		

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		Application Number	10/656,722
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Filing Date	September 5, 2003
		First Named Inventor	Lilip Lau
		Art Unit	3736
		Examiner Name	Unassigned
		Attorney Docket Number	PARCR 66117
Sheet	8	of	14
(use as many sheets as necessary)			

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		6,390,976		05/21/2002	Spence et al.	
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		6,685,627		02/03/2004	Jayaraman	

Examiner Signature	Date Considered
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				Application Number	10/656,722
				Filing Date	September 5, 2003
				First Named Inventor	Lilip Lau
				Art Unit	3736
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 66117
Sheet	9	of	14		

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Examiner Signature	/John Lacyk/	Date Considered	10/29/07
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Substitute for form 1449B/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	10/656,722
				Filing Date	September 5, 2003
				First Named Inventor	Lilip Lau
				Group Art Unit	3736
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 66117
Sheet	10	of	14		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
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				Filing Date	September 5, 2003
				First Named Inventor	Lilip Lau
				Group Art Unit	3736
				Examiner Name	Unassigned
Sheet	11	of	14	Attorney Docket Number	PARCR 66117

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Sheet	12	of	14
<b>OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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				Application Number	10/656,722
				Filing Date	September 5, 2003
				First Named Inventor	Lilip Lau
				Group Art Unit	3736
				Examiner Name	Unassigned
				Attorney Docket Number	PARCR 66117
Sheet	14	of	14		

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/John Lacyk/

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